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BEVER HOFFMAN & HARMS, LLP TRI-VALLEY OFFICE 1432 CONCANNON BLVD., BLDG. G			TABONE JR, JOHN J	
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DATE MAILED: 01/11/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)			
Office Action Summary		09/931,848	GALZUR ET AL.			
		Examiner	Art Unit			
		John J. Tabone, Jr.	2133			
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
2a)⊠	Responsive to communication(s) filed on <u>09 August 2004</u> .  This action is <b>FINAL</b> . 2b) This action is non-final.  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
<ul> <li>4)  Claim(s) 1-20 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5)  Claim(s) 5 and 6 is/are allowed.</li> <li>6)  Claim(s) 1-4,7,15 and 19 is/are rejected.</li> <li>7)  Claim(s) 8-14,16-18 and 20 is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul>						
Applicati	ion Papers					
9) The specification is objected to by the Examiner.  10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
2)  Notice 3) Information	et(s)  te of References Cited (PTO-892)  te of Draftsperson's Patent Drawing Review (PTO-948)  mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  tr No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:				

#### **DETAILED ACTION**

1. Claims 1-20 where examined. Amended claims 1, 5, and 18 have been entered and claims 2-4, 6, 7-17, 19 and 20 remain as filed. The Applicant states that claim 6 has been amended, however, there is not indication, by reading the claim, that it has been. Therefore, claim 6 was added to the "remain as filed" list.

# **Drawings**

2. The drawings objection according to Page 2, ¶ 2 of the Office Action as failing to comply with 37 CFR 1.84(p)(5) because they do not include the following reference character(s) mentioned in the description: Label is missing for memory device 300 in Fig. 3 has been overcome by the Applicant. The objection has been withdrawn by the Examiner.

#### Specification

3. The specification objections for minor informalities, according to Page 2, ¶ 3 of the Office Action, have been overcome by the Applicant. The objections have been withdrawn by the Examiner.

#### Claim Objections

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4. The objection of Claim 18 according to Page 3, ¶ 4 of the Office Action for improperly depending on claim 7 has been overcome by the Applicant. The objection has been withdrawn by the Examiner.

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## Claim Rejections - 35 USC § 112

5. The rejection of claim 1 according to Page 3, ¶ 5 of the Office Action for insufficient antecedent basis has been overcome by the Applicant. The rejection has been withdrawn by the Examiner.

#### Response to Arguments

Applicant's arguments filed August 9, 2004, with regards to amended claim 1 and original claims 7, 15 and 19, have been fully considered but they are not persuasive.

The Applicant states that "it would have been neither possible nor obvious to combine the teachings of Jang and Ledford to produce the non- volatile memory device of Claim 1 because neither these references teach or suggest "means for sequentially reading the self-test instructions from the first array during a first operating phase" and "a command register for receiving the self-test instructions sequentially read from the first array during the first operating phase, and for executing the self-test instructions during a second operating phase", as recited in Claim 1". In response to Applicant's argument that there is no suggestion to combine the references, the Examiner contends that it is not necessary that the references actually suggest, expressly or in so many words, the changes or improvements that applicant has made.

The test for combining references is what the references as a whole would have suggested to one of ordinary skill in the art. See In re Sheckler, 168 USPQ 716 (CCPA 1971); In re McLaughlin 170 USPQ 209 (CCPA 1971); In re Young 159 USPQ 725 (CCPA 1968). F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992). Referring to the rejection of claim 1 on Page 4, ¶ 6 of the Office Action, the Examiner states "Jang suggests an entry/exit control unit 40 (control circuit) for entering the BIST mode or for existing from the BIST mode in accordance with a combination of externally applied row and column address strobe signals RASB and CASB, a write enable signal WEB, and specific address signals A0, A1, and A2 (receiving self-test instructions)." And later "Ledford suggests algorithmic parameters are stored in non-user addressable locations (first array) Electrically Erasable Array 46 (non-volatile memory cells). Ledford also suggests that the data patterns from the self-test are also written into the Electrically Erasable Array 46 (second array of the non-volatile memory cells). (Col. 5, lines 20-25, 41-46)." It was the motivation of the artisan to replace Jang's DRAM memory cell array 62 with Ledford's Electrically Erasable Array 46 for storing the test parameters (self-test instructions) and data patterns (as mentioned above) not to replace Jang's test system as a whole with Ledford's state machines 51 and 70 as the Applicant contends. In addition, Jang does teach that these instructions are sequentially written and read (col. 4, lines 65-67). It is the Examiner's conclusion that claim 1 as amended and original claims 7, 15 and 19 are not patentably distinct or non-obvious over the prior art of record namely, Jang et al. (US-5640354) in view of Ledford et al. (US-6347056). Therefore, the rejection is maintained.

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#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. Claims 1-4, 7, 15 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Jang et al. (US-5640354), hereafter Jang, in view of Ledford et al. (US-6347056), hereafter Ledford.

#### Claim 1:

Jang teaches a DRAM having a self-test function includes a row address buffer 52, a row decoder 54, a column address buffer 58, a column decoder 60, and a memory cell array 62. Jang suggests an entry/exit control unit 40 (control circuit) for entering the BIST mode or for existing from the BIST mode in accordance with a combination of externally applied row and column address strobe signals RASB and CASB, a write enable signal WEB, and specific address signals A0, A1, and A2 (receiving self-test instructions). Jang further teaches a data comparison unit 66 (comparator) for comparing the test data (data values) stored in the memory cell array 62, with test data read from the data generating unit 64 (predefined values). (Col. 4, lines 12-21, lines 55-58). Jang does not explicitly teach that the self-test instructions are stored in a first array. However, Jang does suggest that test parameters are externally applied to the entry/exit control unit 40 (control circuit). Ledford suggests algorithmic parameters are

stored in non-user addressable locations (first array) Electrically Erasable Array 46 (non-volatile memory cells). Ledford also suggests that the data patterns from the self-test are also written into the Electrically Erasable Array 46 (second array of the non-volatile memory cells). (Col. 5, lines 20-25, 41-46). It would have been obvious to one of ordinary skill in the art at the time the invention was made [to replace] Jang's DRAM memory cell array 62 with Ledford's Electrically Erasable Array 46 for storing the test parameters (self-test instructions) and data patterns. The artisan would have been motivated to do so because this would because it would enable Jang to store self-test instructions in memory that would be retained if power was lost. Also, it would lend Jang the flexibility to modify the self-test instructions in the Electrically Erasable Array 46.

Claim 7:

The motivation to modify Jang's DRAM memory cell array 62 with Ledford's Electrically Erasable Array 46 for storing the test parameters (self-test instructions) and data patterns is per claim 1 rejection above. Jang does not explicitly teach that the test parameters are transmitted from a tester. However, Jang does suggests an entry/exit control unit 40 (control circuit) for entering the BIST mode or for existing from the BIST mode in accordance with a combination of externally applied row and column address strobe signals RASB and CASB, a write enable signal WEB, and specific address signals A0, A1, and A2 (receiving self-test instructions). Ledford suggests an external tester (not shown) controls externally applied parameters. (Col. 8, lines 29-33). It would have been obvious to one of ordinary skill in the art at the time the invention was made to adapt Jang's entry/exit control unit 40 (control circuit) to include Ledford's tester. The

artisan would have been motivated to do so because adding Ledford's tester to Jang's invention would enable Jang to externally modify the self-test instruction written into Ledford's Electrically Erasable Array 46 (see claim 1 rejection).

## Claim 15:

The motivation to modify Jang's DRAM memory cell array 62 with Ledford's Electrically Erasable Array 46 for storing the test parameters (self-test instructions) and data patterns is per claim 1 rejection above. Jang teaches a data comparison unit 66 (comparator) for comparing the test data stored in the memory cell array 62, with test data read from the data generating unit 64(reading data values, comparing data values with predefined values). (Col. 4, lines 55-58). Jang does not explicitly teach that the test parameters are transmitted from a tester. However, Jang does suggests an entry/exit control unit 40 (control circuit) for entering the BIST mode or for existing from the BIST mode in accordance with a combination of externally applied row and column address strobe signals RASB and CASB, a write enable signal WEB, and specific address signals A0, A1, and A2 (receiving self-test instructions). Ledford suggests an external tester (not shown) controls externally applied parameters. (Col. 8, lines 29-33). It would have been obvious to one of ordinary skill in the art at the time the invention was made to adapt Jang's entry/exit control unit 40 (control circuit) to include Ledford's tester. The artisan would have been motivated to do so because adding Ledford's tester to Jang's invention would enable Jang to externally modify the self-test instruction written into Ledford's Electrically Erasable Array 46 (see claim 1 rejection).

#### <u>Claim 19:</u>

The motivation to modify Jang's DRAM memory cell array 62 with Ledford's Electrically Erasable Array 46 for writing test parameters (series of self-test instructions) and data patterns is per claim 1 rejection above. Jang suggests under certain input conditions of the address signals A0-A2 the entry/exit control unit 40 outputs a self-test entry signal S1 (start command). Jang further discloses that BIST mode begins when the self-test entry signal S1 (start command) transitions to a high value. (Col. 5, lines 12-21). Jang further suggests that when all the bits of the counter 46 become a high level the counter 46 generates a test end signal S9 (result data indicating completion). (Col. 6, lines 32,33). Jang teaches that data patterns are written (writing at least one pattern) and read (reading data from second memory cells) in a specific cell of the memory array 62 (now Ledford's Electrically Erasable Array 46) in accordance with a combination of externally applied row and column address strobe signals RASB and CASB, a write enable signal WEB, and specific address signals A0, A1, and A2 (executing self-test instructions). (Col. 4, lines 12-36). Jang further teaches a data comparison unit 66 for comparing the test data read from the memory array 62 (read data) with that of the data generating unit 64 (at least one pattern). (Col. 5, lines 55-60). Claim 2:

Jang teaches a column address buffer 58 (address buffer) for outputting a column address signal and a row address buffer 52 (address buffer) for outputting a row address signal. Jang also teaches a <u>row decoder</u> 54 connected to the row address buffer 52 (address buffer). Jang further teaches a row control unit 50 (test mode

register) for outputting an interior row control signal (row address code) to the row address buffer 52. (Col. 4, lines 12-35).

#### Claim 3:

Jang teaches a column control unit 56 (counter) for controlling the column address buffer 58 and the column decoder 60 (column decoder). (Col. 4, lines 46, 47). Claim 4:

Jang teaches a column address buffer 58 (address buffer) for outputting a column address signal and a row address buffer 52 (address buffer) for outputting a row address signal. Jang also teaches a <u>row decoder</u> 54 connected to the row address buffer 52 (address buffer). Jang further teaches a row control unit 50 (counter) for outputting an interior row control signal (row address code) to the row address buffer 52. (Col. 4, lines 12-35). Jang teaches a column control unit 56 (counter) for controlling the column address buffer 58 and the column decoder 60 (column decoder). (Col. 4, lines 46, 47).

# Allowable Subject Matter

- 7. Claims 8-14, 16-18 and 20 are objected to as being dependent upon a rejected base claim, but would be allowable <u>if rewritten in independent form including all of the limitations of the base claim and any intervening claims</u>.
- 8. Claims 5 and 6 are allowed.

The following is an Examiner's Statement of Reasons for Allowance:

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The present invention pertains generally a method and structure for performing wafer sort tests on an EEPROM circuit including an array of 2-bit non-volatile memory cells. The claimed invention (claim 5 as representative, claim 5 is the broadest of the independent claims) recites features such as:"... a plurality of non-volatile memory cells including a first array for storing self-test instructions and a second array for storing data values; a control circuit including a command register for receiving the self-test instructions from the first array during a first operating phase, and a comparator circuit for detecting defective non-volatile memory cells in the second array by comparing the data values with predefined values during a second operating phase; an output controller for registering the self-test instructions read from the first array during the first operating phase; and a data bus connected between the output controller and the control circuit for transmitting the self-test instructions to the command register during the first operating phase."

The prior arts of record teach a non-volatile memory array where algorithmic parameters are stored in non-user addressable locations (first array) Electrically Erasable Array 46 and data values are stored in another area of the array (second array) as well as an entry/exit control unit 40 (control circuit) for receiving externally applied test parameter (self-test instructions) from an external tester. The prior arts of record also teach comparing the data values with predetermined data values. (Per claim 1, 7, 15, and 19). In addition, the prior arts of record teach a row address buffer 52, a row decoder 54, a column address buffer 58, a column decoder 60, a test mode register and a counter, per claims 2-4; Jang et al. (US-5640354) is one example of such prior

arts. The prior arts of record, however, fail to teach, singly or in combination, an output controller for registering the self-test instructions and a data bus connected between the output controller and the control circuit per claim 5, a data input buffer connected to the data bus and controlled by the control circuit per claim 6. The prior arts of record also fail to teach, singly or in combination, the method of reading the first instruction by transmitting the first address code to the addressing circuit and reading the first instruction from the first array per claim 8 and reading and verifying the series of self-test instructions from the first memory cells before transmitting a start command per claim 20. The Applicant amended claim 5 as to incorporate the claim limitations of claim 1 making claim 5 independent. As a result the Examiner favors the allowance of claims 5 and 6.

Any comments considered necessary by applicant must be submitted no later than the payment of the Issue Fee and, to avoid processing delays, should preferably accompany the Issue Fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

#### **Conclusion**

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not

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mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John J. Tabone, Jr. whose telephone number is (571) 272-3827. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert DeCady can be reached on (571) 272-3819. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

John J. Tabone, Jr.

Examiner Art Unit 2133

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